



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Shanghsien ROU et al.

Serial No.: 10/657,067

Filing Date: September 9, 2003

For: SPUTTERING TARGET AND  
METHOD FOR MAKING COMPOSITE  
SOFT MAGNETIC FILMS WITH A  
SINTERED TARGET

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.

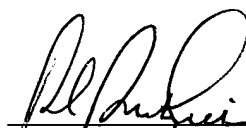
Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.


In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **(146712003220)**. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: December 9, 2003

Respectfully submitted,

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Form PTO-1449  <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> <i>(Use several sheets if necessary)</i>				Docket Number: <b>146712003220</b>		Application Number: <b>10/657,067</b>	
				Applicant  <b>Shanghsien ROU et al.</b>			
				Filing Date: September 9, 2003		Group Art Unit: Not Yet Assigned	
				Mailing Date <b>December 9, 2003</b>			
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	03/07/2000	6,033,536	ICHIHARA et al.			03/08/1996
<b>FOREIGN PATENT DOCUMENTS</b>							
Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	2.						
<b>OTHER DOCUMENTS</b> <span style="float: right;"><i>(including author, title, Date, Pertinent Pages, Etc.)</i></span>							
Examiner Initials	Ref. No.	Title					
	3.						
EXAMINER:				DATE CONSIDERED:			
EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.							